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Examiner: BAYARD, Emmanuel

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Pages: Cover + 2 + 1 = 4

Date: February 22, 2007

From: Georgann S. Grunebach  
Reg. No. 33,179Fax: (310) 964-0941  
Phone: (310) 964-4815

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Date: February 22, 2007

  
Georgann S. Grunebach, Reg. No. 33,179

Re: Serial No. 10/693,135

Filed: October 24, 2003

Inventors: Ernest C. Chen

For: LAYERED MODULATION FOR TERRESTRIAL ATSC APPLICATIONS

Attorney Docket No.: PD-201062

Transmitted herewith: INFORMATION DISCLOSURE STATEMENT (2 pages)  
SUBSTITUTE FORM PTO 1449 (1 page)

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PAGE 1/4 \* RCVD AT 2/22/2007 4:04:47 PM [Eastern Standard Time] \* SVR:USPTO-EFXXRF-3/17 \* DNIS:2738300 \* CSID:3109640941 \* DURATION (mm-ss):01-32

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Patent  
PD-201062  
Customer No. 020991

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen

Serial No.: 10/693,135  
Filed: October 24, 2003For: LAYERED MODULATION FOR  
TERRESTRIAL ATSC  
APPLICATIONSGroup Art Unit: 2611  
Examiner: BAYARD, Emmanuel: I hereby certify that this correspondence is  
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: Date: February 22, 2007

**SUPPLEMENTAL DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (c)**Mail Stop Amendment  
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Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

Reference citation numbers 1 and 2 were cited in an Office Action dated January 24, 2007 in related patent application serial number 10/691,032 filed October 22, 2003.

Reference citation numbers 3 through 9 were cited in an Office Action dated February 6, 2007 in related application serial number 10/532,632 filed April 25, 2005.

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Serial No. 10/192,960.....Page 2

This statement should be considered because it is submitted after the mailing date of a first Office action on-the-merits, but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(2)).

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

By: 

Georgann S. Grunebach, Reg. No. 33,179

The DIRECTV Group, Inc.  
CA/LA1/A109  
P. O. Box 956  
El Segundo, CA 90245

Telephone No. (310) 964-4615

February 22, 2007

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/693,135
		Filing Date	October 24, 2003
		First Named Inventor	Ernest Chen
		Art Unit	2611
		Examiner Name	BAYARD, Emmanuel
Date:	February 22, 2007		
Sheet 1 of 1		Attorney Docket Number	PD-201062

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,522,683	02-2003	Smee et al.	
	2	US-7,161,931	01-2007	Li et al.	
	3	US-6,956,841	10-2005	Stahle et al.	
	4	US-6,049,566	04-2000	Saunders et al.	
	5	US-2002/0136327	09-2002	El-Gamal et al.	
	6	US-7,154,958	12-2006	Dabak et al.	
	7	US-2003/0194022	10-2003	Hammons et al.	
	8	US-2004/0146014	07-2004	Hammons et al.	
	9	US-2002/0051435	05-2002	Giallorenzi et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

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